

PREAMBLE

This Responsible Use Policy (hereafter also “**Policy**”) sets out the baseline responsible use restrictions that will apply to both academic and non-academic licensees to the LLM’s developed as part of the GPT-NL project (the “**LLMs**”). This Policy is a “living document” to accommodate the rapid developments in the AI field and may be updated over time in accordance with the governance charter of the GPT-NL project. This Policy is intended to be included as an Annex in the license agreement that applies to the LLMs (the “**License Agreement**”).

Please take note that the responsible use restrictions mainly pertain to the ethical aspects of responsible use and will apply in addition to any other license terms and restrictions included in the License Agreement, such as the prohibition on using LLMs for purposes that are prohibited under the EU AI Act. This Policy is inspired by the following best practice documents:

- The RAIL-contract models for responsible AI Licensing;
- The “living guidelines on the responsible use of GenAI in Research” (ERA Forum, March 2024);
- The ethics guidelines on trustworthy AI (EU High level expert group on AI)

Exceptions

The LLMs are developed in the public interest and exceptions to this Policy can be made where necessary for public interest purposes. For example, exceptions can be made in accordance with applicable laws such as the EU AI Act, which provides for specific exceptions for military, defence or national security purposes.

USE RESTRICTIONS

You agree not to use the LLMs in furtherance of any of the following: **Discrimination**

- a) To discriminate or exploit individuals or groups, including based on legally protected characteristics and/or vulnerabilities.
- b) For purposes of administration of justice, law enforcement, immigration, or asylum processes, such as predicting that a natural person will commit a crime or the likelihood thereof.
- c) To engage in, promote, incite, or facilitate discrimination or other unlawful or harmful conduct in the provision of employment, employment benefits, credit, housing, or other essential goods and services.

2. **Military**

- a) For weaponry or warfare.
- b) For purposes of building or optimizing military weapons or in the service of nuclear proliferation or nuclear weapons technology.
- c) For purposes of military surveillance, including any research or development relating to military surveillance.

3. **Legal**

- a) To engage or enable fully automated decision-making that adversely impacts a natural person's legal rights without expressly and intelligibly disclosing the impact to such natural person and providing an appeal process.

- b) To engage or enable fully automated decision-making that creates, modifies or terminates a binding, enforceable obligation between entities; whether these include natural persons or not.
- c) In any way that violates any applicable national, federal, state, local or international law or regulation.

4. Disinformation

- a) To create, present or disseminate verifiably false or misleading information for economic gain or to intentionally deceive the public, including creating false impersonations of natural persons.
- b) To synthesize or modify a natural person's appearance, voice, or other individual characteristics, unless prior informed consent of said natural person is obtained.
- c) To autonomously interact with a natural person, in text or audio format, unless disclosure and consent is given prior to interaction that the system engaging in the interaction is not a natural person.
- d) To defame or harm a natural person's reputation, such as by generating, creating, promoting, or spreading defamatory content (statements, images, or other content).
- e) To generate or disseminate information (including - but not limited to - images, code, posts, articles), and place the information in any public context without expressly and intelligibly disclaiming that the information and/or content is machine generated.

5. Privacy

- a) To utilize personal information to infer additional personal information about a natural person, including but not limited to legally protected characteristics, vulnerabilities or categories; unless informed consent from the data subject to collect said inferred personal information for a stated purpose and defined duration is received, except where this is explicitly allowed under relevant personal privacy legislation.
- b) To generate or disseminate personal identifiable information that can be used to harm an individual or to invade the personal privacy of an individual.
- c) To engage in, promote, incite, or facilitate the harassment, abuse, threatening, or bullying of individuals or groups of individuals.

6. Health

- a) To provide medical advice or make clinical decisions without necessary (external) accreditation of the system; unless the use is (i) in an internal research context with independent and accountable oversight and/or (ii) with medical professional oversight that is accompanied by any related compulsory certification and/or safety/quality standard for the implementation of the technology.
- b) To provide medical advice and medical results interpretation without external, human validation of such advice or interpretation.
- c) In connection with any activities that present a risk of death or bodily harm to individuals, including self-harm or harm to others, or in connection with regulated or controlled substances.

- d) In connection with activities that present a risk of death or bodily harm to individuals, including inciting or promoting violence, abuse, or any infliction of bodily harm to an individual or group of individuals.

7. General

- a) To defame, disparage or otherwise harass others.
- b) To Intentionally deceive or mislead others, including failing to appropriately disclose to end users any known dangers of your system.

8. Research & Educatie

- a) In connection with any academic or educational dishonesty, including submitting any informational content or output of the LLMs as your own work in any academic or educational setting.

9. Malware

- a) To generate and/or disseminate malware (including - but not limited to - ransomware) or any other content to be used for the purpose of harming electronic systems;